

ANNEX

Standard format for filing complaints

SUBMISSION OF A COMPLAINT (to be sent by the client to the crowdfunding service provider)

1.a *Personal details of the complainant*

NAME / NAME OF THE LEGAL ENTITY	SURNAME	REGISTRATION NUMBER AND LEI (if applicable)

ADRESS Street, Number, Floor <i>(registered office for companies)</i>	POSTAL CODE	CITY	COUNTRY

TELEPHONE	EMAIL

2.a *Contact details (if different from 1.a)*

NAME / NAME OF THE LEGAL ENTITY	SURNAME	REGISTRATION NUMBER AND LEI (if applicable)

ADRESS Street, Number, Floor <i>(registered office for companies)</i>	POSTAL CODE	CITY	COUNTRY

TELEPHONE	EMAIL

2.a *Personal details of the legal representative (if applicable)*

(A power of attorney or other official document must be provided as proof of the representative's appointment)

NAME	SURNAME

ADRESS Street, Number, Floor <i>(registered office for companies)</i>	POSTAL CODE	CITY	COUNTRY

TELEPHONE	EMAIL

2.b *Contact details (if different from 2.a)*

NAME / NAME OF LEGAL ENTITY	SURNAME

ADRESS Street, Number, Floor <i>(registered office for companies)</i>	POSTAL CODE	CITY	COUNTRY

TELEPHONE	EMAIL

Information about the complaint

3.a *Full reference of the investment or contract to which the complaint relates*

Investment reference number, name of the project promoter/company or crowdfunding project, other references of related transactions, etc.)

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3.b *Description of the subject of the complaint (clearly specify the reason of the complaint)*

Attach documents supporting the facts.

1.c *Date(s) of the events giving rise to the complaint*

1.d *Description of damages, losses, or harm suffered (if applicable)*

1.e *Other observations or relevant information (if applicable)*

Issued in _____

on _____

SIGNATURE

COMPLAINANT/LEGAL REPRESENTATIVE

Attached documents (check the corresponding box):

- Power of attorney or other relevant document.
- Copy of contractual documents related to the investments mentioned in the complaint.
- Other supporting documents for the complaint.